



JPW 2611

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Dated: August 15, 2005

Signature: _____

(Robert B. Cohen)

Docket No.: SONYIT 3.3-115
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Tsutsui et al.

Application No.: 09/743,166

Group Art Unit: 2611

Filed: January 5, 2001

Examiner: H. V. Tran

For: CONTROL METHOD AND CONTROL
EQUIPMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a search report issued in connection with applicant's corresponding European application. A copy of the search report and a copy of each reference are enclosed with the exception of copies of Japanese Patent Application Nos. 10164449, 05074118 and 09186984 which were previously submitted in an Information Disclosure Statement filed on January 5, 2001. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

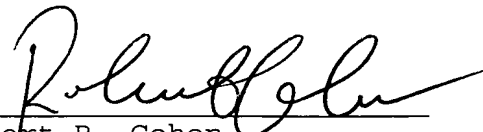
Application No.: 09/743,166

Docket No.: SONYIT 3.3-115

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: August 15, 2005

Respectfully submitted,

By 
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Alexandria, VA 22313-1450

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)

Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: August 15, 2005

Respectfully submitted,

By 

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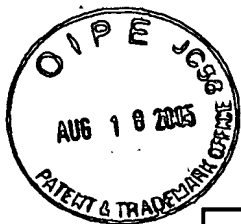
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PTO/SB/08a/b (07-05)

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/743,166
				Filing Date	January 5, 2001
				First Named Inventor	Shintaro Tsutsui
				Art Unit	2611
				Examiner Name	H. V. Tran
Sheet	1	of	1	Attorney Docket Number	SONYIT 3.3-115

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA*	5,764,930-A	06-09-1998	Staats et al.	
	AB*	5,317,693-A	05-31-1994	Cuenod et al.	
	AC*	5,655,148-A	08-05-1997	Richman et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO-98/16886-A1	04-23-1998	Philips Electronics N.V.		✓
	BB	WO-98/17033-A1	04-23-1998	Thomson Consumer Electronics, Inc.		✓
	BC	EP-0 637 157-A2	02-01-1995	Canon Kabushiki Kaisha		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
	CA	"Specification of the Home Audio/Video Interoperability (HAVI) Architecture", HAVI Specification, November 1998, pp. 1-384.			✓
	CB	CHILD, J., "Intelligent Home Technology Looks for Leverage from Related Markets", Computer Design, Vol. 36, No. 12, December 1997, pp. 85-87.			✓
	CC	BANKS, D. ET AL., "Breaking Open the Set Top Box", Proceedings of the SPIE, Vol. 3228, November 4, 1997, pp. 105-116.			✓
	CD	"AV/C Digital Interface Command Set General Specification", 1394 Trade Association, April 15, 1998, pp. 1-88.			✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature		Date Considered	
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